

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

FEB 25 1999

ATTY DOCKET NO: S63.2-6769

Application No: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3798

3731

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	5,591,197	1/7/97	Orth et al.	606	198	
	AB	5,697,971	12/16/97	Fischell et al.	623	1	
	AC	5,718,713	2/17/98	Frantzen	606	198	
	AD	5,735,893	4/7/98	Lau et al.	623	1	
	AE	5,741,327	4/21/98	Frantzenq	623	1	
	AF	5,755,776	5/26/98	Al-Saadon	623	1	
	AG	5,755,781	5/26/98	Jayaraman	623	1	
	AH	5,776,161	7/7/98	Globerman	606	194	
	AI	5,776,183	7/7/98	Kanesaka et al.	623	1	
	AJ	5,807,404	9/15/98	Richter	623	1	
	AK	5,810,872	9/22/98	Kanesaka et al.	606	198	
✓	AL	5,824,059	10/20/98	Wijay	623	1	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
✓	BA	297 01 758	3/27/97	DE				
✓	BB	297 02 671 U1	4/10/97	DE				
✓	BC	297 08 689 U1	7/17/97	DE				
✓	BD	297 08 879 U1	7/31/97	DE				
✓	BE	0 821 920 A1	2/4/98	EP				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	CA		Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).					
✓	CB		Beyar et al, "Newer Stents: Materials and Designs", <i>IAGS Proceedings</i> , 9(5): 363-371 (June 1997).					
✓	CC		Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).					
✓	CD		Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).					

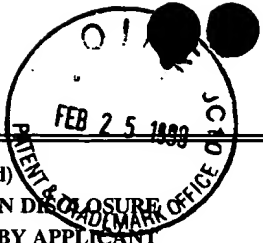
EXAMINER

NBN-NWS

DATE CONSIDERED

2/18/00

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



09/197278

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-6769	Application No: NA
	APPLICANT: Brian J. Brown et al.	
	FILING DATE: November 20, 1998	Group: 3231

REFERENCE DESIGNATION

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM'S INIT.		DOCUMENT NUMBER	PUBLICA TION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
✓	BF	97/04721	2/13/97	WO				
	BG	97/14375	2/24/97	WO				
	BH	97/25937	7/24/97	WO				
	BI	97/32543	9/12/97	WO				
	BJ	97/32544	9/12/97	WO				
	BK	97/33534	9/18/97	WO				
	BL	97/40780	11/6/97	WO				
	BM	97/40781	11/6/97	WO				
	BN	97/40782	11/6/97	WO				
	BO	97/40783	11/6/97	WO				
✓	BP	97/40874	11/6/97	WO				
	BQ							
	BR							
	BS							
	BT							
	BU							
	BV							
	BW							
	BX							
	BY							
	BZ							

EXAMINER <i>LEN 200</i>	DATE CONSIDERED <i>7/6/00</i>
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTORNEY DOCKET NO: S63.2-5605 APPLICANT: Brian J. Brown, Michael L. Davis FILING DATE: August 3, 1995		Serial No: 08/511,076 Group: 3308	
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REFERENCE DESIGNATION							
EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	4,553,545	11/19/1985	Maass et al.			
	AB	4,733,665	03/29/1988	Palmaz			
	AC	4,739,762	04/26/1988	Palmaz			
	AD	4,776,337	10/11/1988	Palmaz			
	AE	4,830,003	05/16/1989	Wolff et al.			
	AF	4,856,516	08/15/1989	Hillstead			
	AG	4,886,062	12/12/1989	Wiktor			
	AH	4,913,141	04/03/1990	Hillstead			
	AI	4,994,071	02/19/1991	MacGregor			
	AJ	5,019,090	05/28/1991	Pinchuk			
W	AK	5,035,706	07/30/1991	Gianturco et al.			

RECEIVED  
 MAR 02 1999  
 Group 3700

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES NO
W	BA	0 606 165 A1	07/13/1994	EPO			X
W	BB	0 540 290 A2	05/05/1993	EPO			X
W	BC	0 364 787 B1	04/25/1990	EPO			X
W	BD	WO 94/17754	08/18/1994	PCT			X
W	BE	0 541 443 A1	05/12/1993	EPO			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
W	CA	Manufacturing Processes for Engineering Materials, by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.
W	CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457.
W	CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610.

EXAMINER	DATE CONSIDERED
W	JH

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATUS: **STATUTORY**

(Use several sheets if necessary)

ATTY DOCKET NO: S63.2-5605

Serial No: 08/511,076

APPLICANT: Brian J. Brown, Michael L. Davis

FILING DATE: August 3, 1995

Group: 3308

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	5,059,211	10/22/1991	Stack et al.			
	AB	5,102,417	04/07/1992	Palmaz			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/28/1992	Wiktor			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	5,195,984	03/23/1993	Schatz			
	AG	5,197,978	03/30/1993	Hess			
	AH	5,282,823	02/01/1994	Schwartz et al.			
	AI	5,292,331	03/08/1994	Boneau			
	AJ	5,304,200	04/19/1994	Spaulding			
W	AK	5,449,373	09/12/1995	Pinchasik et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
W	AL	6-4175	03/06/1994	Japan				
	AM							
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

W	AR		Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.					
W	AS		ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421					
W	AT		Cambridge Dictionary of Science and Technology, Cambridge University Press. 128					

EXAMINER

WBN NW

DATE CONSIDERED

7/15/00

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LD	AA	3,657,744	04/25/1972	Ersek			
	AB	4,141,364	02/27/1979	Schultze			
	AC	4,681,110	07/21/1987	Wiktor			
	AD	4,693,721	09/15/1987	Ducheyne			
	AE	4,786,507	<del>09/06/1988</del>	Fischell et al.			
	AF	4,769,029	09/06/1988	Patel			
	AG	4,787,899	11/29/1988	Lazarus			
	AH	4,830,003	05/16/1989	Wolff et al.			
	AI	4,856,516	08/15/1989	Hillstead			
	AJ	4,922,905	05/08/1990	Strecker			
	AK	4,950,227	08/21/1990	Savin et al.			
	AL	4,950,258	08/21/1990	Kawai et al.			
	AM	4,994,071	02/19/1991	MacGregor			
	AN	5,015,253	05/14/1991	MacGregor			
	AO	5,037,392	08/06/1991	Hillstead			
	AP	5,064,435	11/12/1991	Porter			
	AQ	5,092,877	03/03/1992	Pinchuk			
	AR	5,104,399	04/14/1992	Lazarus			
	AS	5,108,415	04/28/1992	Pinchuk et al.			
	AT	5,108,417	04/28/1992	Sawyer			
	AU	5,122,154	06/16/1992	Rhodes			
	AV	5,139,480	08/18/1992	Hickle et al.			
	AW	5,147,385	09/15/1992	Beck et al.			
	AX	5,147,400	09/15/1992	Kaplan et al.			
W	AY	5,158,548	10/27/1992	Lau et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

PATENT DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LN	AA	5,217,483	06/08/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,226,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianturco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepp-Pesch et al.			
	AH	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Hussein			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreamer			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
LN	AY	3,868,956	<del>04/04/1995</del>	Alfidi et al.			

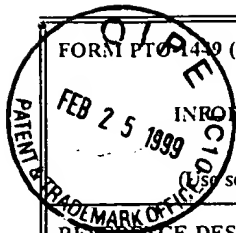
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DATE CONSIDERED

H/L 100

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1439 (Modified)

ATTY DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

## REFERENCE DESIGNATION

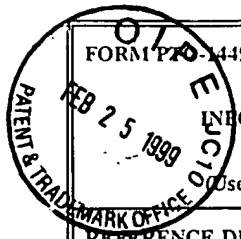
## U.S. PATENT DOCUMENTS

EXAMINER'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	4,140,126	02/20/1979	Choudhury			
	AB	4,503,569	03/12/1985	Dotter			
	AC	4,512,338	04/23/1985	Balko et al.			
	AD	4,553,545	11/19/1985	Maass et al.			
	AE	4,560,374	12/24/1985	Hammerslag			
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.			
	AG	4,649,922	03/17/1987	Wiktor			
	AH	4,655,771	04/07/1987	Wallsten			
	AI	4,665,913	05/19/1987	Garza et al.			
	AJ	4,795,458	01/03/1989	Regan			
	AK	4,800,882	01/31/1989	Gianturco			
	AL	4,848,343	07/18/1989	Wallsten et al.			
	AM	4,872,874	10/10/1989	Taheri			
	AN	4,878,906	11/07/1989	Lindemann et al.			
	AO	3,105,492	10/01/1963	Jeckel			
	AP	3,272,204	09/13/1966	Artandi et al.			
	AQ	3,490,975	01/20/1970	Lightwood et al.			
	AR	3,562,820	02/16/1971	Braun			
	AS	3,635,215	01/18/1972	Shea et al.			
	AT	3,771,526	11/13/1973	Rudle			
	AU	3,993,078	11/23/1976	Bergentz et al.			
	AV	4,078,167	03/07/1978	Banas et al.			
	AW	4,127,761	11/28/1978	Pauley et al.			
	AX	4,130,904	12/26/1978	Whalen			
	AY	4,164,045	09/17/1979	Bokros et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM 170-149 (Modified)

ATTY DOCKET NO: S63.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Brian J. Brown, Michael L. Davis

(Use several sheets if necessary)

FILING DATE: August 3, 1995

Group: 3308

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	4,214,587	07/29/1980	Sakura, Jr.			
	AB	4,313,231	02/02/1982	Koyamada			
	AC	4,425,908	01/17/1984	Simon			
	AD	4,501,264	02/26/1985	Rockey			
	AE	4,535,770	08/20/1985	Lemole			
	AF	4,580,568	04/08/1986	Gianurco			
	AG	4,597,389	07/01/1986	Ibrahim et al.			
	AH	4,655,776	04/07/1987	Lesinski			
	AI	4,795,458	01/03/1989	Regan			
	AJ	4,795,465	01/03/1989	Marten			
	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.			
W	AL	4,851,009	07/25/1989	Pinchuk			
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EXAMINER

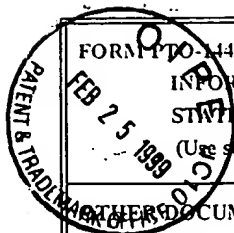
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DATE CONSIDERED

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



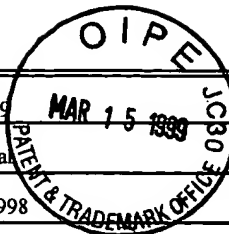


FORM PTO-1449 (Modified)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 3308
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
W	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
W	CB	Self-expanding Stainless Steel Biliary Stents <sup>1</sup> , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
W	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
W	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.	
W	CE	Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.	
W	CF	Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial <sup>1</sup> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
W	CG	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications <sup>1</sup> , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
W	CH	Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
W	CI	Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
	CL		
	CM		
	CN		
	CO		
	CP		
	CQ		
EXAMINER		DATE CONSIDERED	
LION NAD		H/Loo	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

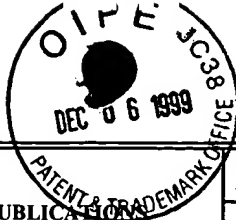
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Group 3700



<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278	
				APPLICANT: Brian J. Brown et al			
				FILING DATE: November 20, 1998		GROUP: 3738 3791	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT DOCUMENTS</b>			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
W	BA	298 16 878 U1	12/24/98	DE			(Abstract)
	BB						
	BC						
	BD						
	BE						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CA						
	CB						
	CC						
EXAMINER <i>LEN NGU</i>				DATE CONSIDERED <i>7/6/00</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3738

3731

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	AA	5,911,754	06/15/99	Kanesaka et al.	623	1	
W	AB	5,922,021	07/13/99	Jang	623	1	
W	AC	5,948,016	09/07/99	Jang	623	1	
W	AD	5,954,743	09/21/99	Jang	606	198	
	AE						
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Group 3700

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							
	BD							
	BE							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CB		
	CC		

EXAMINER

WBNCO

DATE CONSIDERED

7/5/00

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.